FACSIMILE MESSAGE FROM THE OFFICE OF:

Gowan Intellectual **Property**

JAN 03 2007

| Your Ref: | Appin No.10/789872 | Date: |
|--|--------------------------|--|
| Our Ref: | 1378-01 | _ FROM: Gerald A. Gowan |
| TO: | Company: | USPTO |
| | Attention: | Examiner Minh Chau Thi Pham - Group Art Unit 1724 |
| Fax #: | 571-273-8300 | # OF PGS INCL COVER: |
| Includes: Fax cover S Transmittal Petition for Credit Card Response to | of Transmission - 1 page | page ant Amendment - 12 pages |
| Total: | 12 pages | |
| | | lence is being facsimile transmitted to the U.S. Patent & Trader |

CONFIDENTIALITY NOTICE

Regn. No. 37041

Please take note that the enclosed documentation is intended for receipt only by the abovenamed addresses. If you are not that person, please call us, and please return this page and all the pages that follow by mail to the address noted below.

1075 North Service Road West, Suite 203 Oakville, Ontario CANADA L6M 2G2 FACSIMILE NO: (905) 827-5087 TELEPHONE NO: (905) 827-5000

RECEIVED **CENTRAL FAX CENTER** JAN 03 2007

2002/017

PTO/8B/21 (09-08)

| Under the Penerwork | Reduction Act of 1995, no person | U.S. P | atent and Tradems | rk Office; | through 03/31/2007. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE displays a valid OMB control number. | | | |
|--|--|---|------------------------------------|------------------------|--|--|--|--|
| | THE PARTY OF THE P | Application Number | 10/789872 | | STABLE VALUE CONTROL TRUMPORT | | | |
| TRANS | BMITTAL | Filing Date | 02/27/2004 | | | | | |
| FC | DRM | First Named Inventor | QUINN | | | | | |
| | | Art Unit | 1724 | | | | | |
| (to be used for all correspondence effer initial filling) | | Examiner Name | PHAM, Minh Chau Thi | | | | | |
| Total Number of Pages I | n This Submission | Attorney Docket Number | 1378-01 | | | | | |
| | ENCLOSURES (Check all that apply) | | | | | | | |
| Fee Transmittal F | rorm . | Drawing(s) | | After | Allowance Communication to TC | | | |
| Fee Attac | thed | Licensing-related Papers | | | al Communication to Board peals and Interferences | | | |
| Amendment/Repl | " | Petition Petition to Convert to a | | (Арро | al Communication to TC al Notice, Brief, Reply Brief) | | | |
| After Fine | | Provisional Application Power of Attorney, Revocation | | • | etary information | | | |
| Affidavita | `` | Change of Correspondence A | 1 ==== | | Letter Enclosure(s) (please identify | | | |
| Extension of Time | e Request | Terminal Discialmer | 100 | below | | | | |
| Express Abandor | nment Request | Request for Refund | Cet | dit Caro Ulicate o | Payment Form Transmission | | | |
| Information Disck | osure Statement | CD, Number of CD(e) | | | | | | |
| | <u> </u> | Landscape Table on CD | | | · | | | |
| Certified Copy of Document(s) | Priority Rema | rke | | | | | | |
| Reply to Missing | Parts/ | | | | • | | | |
| Incomplete Applic | | | | | | | | |
| | GFR 1.52 or 1.53 | | | | | | | |
| | | | | | | | | |
| | SIGNATURE C | OF APPLICANT, ATTO | RNEY, OR AC | ENT | | | | |
| Gowar | Gowan Intellectual Property | | | | | | | |
| Signature Shipman | | | | | | | | |
| Printed name Gerald | Innted name Gerald A. Gowan | | | | | | | |
| Date Januar | ry 3, 2007 | P | leg. No. 3704 | 1 | | | | |
| | | | | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | | | |
| I hereby certify that this c sufficient postage as first the date shown below: | orrespondence is baing facsi class mail in an envelope ad | Imile transmitted to the USPTO Idressed to: Commissioner for | or deposited with Patents, P.O. Bo | th the Un x 1450, a | Ited States Postal Service with Nexandria, VA 22313-1450 on | | | |
| Gignature SAS- | | | | | | | | |
| Typed or printed name | Gerald A. Gowan | erald A. Gowan | | | January 3, 2007 | | | |

This collection of information is required by 97 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistence in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/97 (09-06)
Approved for use through 09/31/2007. OMB 0651-0031
U.S. Patent and Tradomark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it cantains a valid OMS control number.

Telephone Number

Certificate of Transmission under 37 CFR 1.8

| | tes Patent and Trac | | facsimile transmitted to the United |
|-----|---------------------|---------------------------------------|-------------------------------------|
| on_ | January 3, 2007 | · | |
| | Date | · · · · · · · · · · · · · · · · · · · | |
| _ | | Here. Signature | |
| | | o ignataro | |
| | | Gerald A. Gowan | |
| | | Typed or printed name of p | person signing Certificate |
| _ | 37041 | | 905-827-5000 |

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper. Docket No.: 1378-01

- Fax Cover Sheet 1 page
- Transmittal Form 1 page
- Petition for Extension of Time 1 page

Registration Number, if applicable

- Credit Card Payment Form 1 page
- Response to Notice of Non-Compliant Amendment 12 pages
- Certificate of Transmission 1 page

TOTAL: 17 pages

This collection of information is required by 37 CFR 1.6. The information is required to obtain or rotain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.